Printed name

Date

Cynthia L. Kahik, Ph.D.

November 21, 2005

11-22-05

PTO/SB/21 (09-04) Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE erwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 09/854204-Conf. #8487 Filing Date **TRANSMITTAL** May 11, 2001 First Named Inventor **FORM** Peter Martin FISCHER Art Unit 1642 (to be used for all correspondence after initial filing) **Examiner Name** K. A. Canella Attorney Docket Number CCI-010CN Total Number of Pages in This Submission ENCLOSURES (Check all that apply) After Allowance Communication x | Fee Transmittal Form Drawing(s) Appeal Communication to Board of Licensing-related Papers Fee Attached Appeals and Interferences Petition to Correct Inventorship Appeal Communication to TC Amendment/Reply (Appeal Notice, Brief, Reply Brief) Pursuant to 37 CFR 1.48(a) Petition to Convert to a After Final **Proprietary Information** Provisional Application Power of Attorney, Revocation Affidavits/declaration(s) Status Letter Change of Correspondence Address Other Enclosure(s) (please Extension of Time Request Terminal Disclaimer Identify below): Copy of executed Statement By Express Abandonment Request Request for Refund Shudong Wang filed in USSN 09/438460; copy of executed Statement Information Disclosure Statement CD, Number of CD(s) by the Assignee filed in USSN 09/438460; copy of DPOA filed in USSN 09/438460; Certificate of Express Certified Copy of Priority Landscape Table on CD Mailing; Return Receipt Postcard Document(s) Reply to Missing Parts/ Remarks Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name LAHIVE & COCKEJELD, LLP Signature

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l	shown below.	
l	Dated: November 21, 2005	Signature (Cynthia L. Kanik, Ph.D.)

Reg. No.

37,320

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#### **PROCESSING FEE Under 37 CFR 1.17(i) TRANSMITTAL**

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to respend to a concentral information divess it displays a valid OMB control number	
Application Number	09/854204-Conf. #8487
Filing Date	May 11, 2001
First Named Inventor	Peter Martin FISCHER
Art Unit	1642
Examiner Name	K. A. Canella
Attorney Docket Number	CCI-010CN

if applicable. For transmittal of petition fees under 37 CFR 1.17	and faxed or mailed to the Office using the appropriate Mail Stop, 7(f), (g) or (h), see form PTO/SB/17p.
Payment of Fees (small entity amounts are NOT available	e for the processing fees)
	e following fees to Deposit Account No. 12-0080 : x any deficiency of fees and credit of any overpayments
Check in the amount of \$	is enclosed.
Payment by credit card (Form PTO-2038 or equivaler	nt enclosed). Do not provide credit card information on this form.
Processing Fees under 37 CFR 1.17(i): Fee \$130	Fee Code 1808 for all,
	Except for §1.221 papers (Fee Code 1803)
For papers filed under:  § 1.28(c)(3) – for processing a non-itemized fee deficiency based of \$1.41 – for supplying the name or names of the inventor or inventor by §1.63, except in provisional applications.  § 1.48 – for correcting inventorship, except in provisional application § 1.52(d) – for processing a nonprovisional application filed with a s § 1.53(b)(3) – to convert a provisional application filed under § 1.53 § 1.55 – for entry of late priority papers.  § 1.71(g)(2) – to enter an amendment to the specification for purpos § 1.99(e) – for processing a belated submission under § 1.99.  § 1.103(b) – for requesting limited suspension of action, continued p § 1.103(c) – for requesting limited suspension of action, request for § 1.103(d) – for requesting deferred examination of an application.  § 1.217 – for processing a redacted copy of a paper submitted in the the patent application publication.  § 1.221 – for requesting voluntary publication or republication of an § 1.291(c)(5) – for processing a second or subsequent protest by the § 1.497(d) – for filing an oath or declaration pursuant to 35 U.S.C. 3 entity set forth in the international stage.  § 3.81 – for a patent to issue to assignee, assignment submitted after	rs after the filing date without an oath or declaration as prescribed as.  pecification in a language other than English. (c) into a nonprovisional application under §1.53(b).  ses of 35 U.S.C. 103(c)(2) if not filed within the cited time periods prosecution application (§ 1.53(d)).  continued examination (§ 1.114).  The file of an application in which a redacted copy was submitted for application. Fee Code 1803  The same real party in interest.  The file of an application as prescribed application. Fee Code 1803  The same real party in interest.  The file of an application in which a redacted copy was submitted for application.
- fyttfill	November 21, 2005
Signature	Date
Cynthia L. Kanik, Ph.D.  Typed or printed name	37,320  Registration No., if applicable
ryped or printed hame	педівнаноп по., ії арріісавіе

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Dated: November 21, 2005

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(Cynthia L. Kanik, Ph.D.)

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Signature

Qated: November 21, 2005

(Cynthae L. Kanik, Ph.D.)

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Peter Martin Fischer, et al.

Serial No.: 09/854,204

Filed: May 11, 2001

For: TRA

TRANSPORT VECTORS

Attorney Docket No.: CCI-010CN

MS Issue Fee Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Group Art Unit: 1642

Examiner:

Karen A. Canella

#### PETITION TO CORRECT INVENTORSHIP PURSUANT TO 37 C.F.R. 1.48(a)

Dear Sir:

In accordance with 37 C.F.R. 1.48(a), Applicants respectfully request correction of inventorship in order to add **Shudong Wang** as inventor number three (3) to the above-referenced patent application. As set forth in 37 C.F.R. 1.48(a), enclosed herein are

- a) an executed Statement By Shudong Wang filed in the parent application (U.S. Serial No. 09/438,460) stating that the error in omitting him as an inventor was erroneous and occurred without deceptive intent;
- b) a declaration by the inventors as required by 37 C.F.R. 1.63 and as filed in the parent application (U.S. Serial No. 09/438,460); and
- c) a Statement by the Assignee also filed in the parent application (U.S. Serial No. 09/438,460) consenting to the correction of the inventorship.

Lastly, please charge the appropriate petition fee of \$130.00 pursuant to 37 CFR 1.17(i) and 37 C.F.R. 1.48(a) to our Deposit Account No. 12-0080 under Order No. CCI-010CN, from which the undersigned is authorized to withdraw. The Commissioner is hereby authorized to charge any deficiency in these fees or credit any overpayments to our Deposit Account No. 12-0080 under Order No. CCI-010CN.

Respectfully submitted,

11/23/2005 GWDRDDF2 00000040 120080 09854204

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130.00 DA '

LAHIVE & COCKFIELD, LLP

Cynthia L. Kanik, Ph.D.

Reg. No. 37,320

Attorney for Applicants

28 State Street Boston, MA 02109 Tel. (617) 227-7400

Dated: November 21, 2005

# O TO THE WAY,

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Peter M. Fischer, et al.

Serial No.: 09/438,460

Filed: November 12, 1999

For: TRANSPORT VECTORS

Attorney Docket No.: CCI-010

Commissioner for Patents Washington, D.C. 20231

Group Art Unit: 1614

Examiner: Karen A. Canella

#### STATEMENT BY SHUDONG WANG

I, Shudong Wang, was erroneously omitted as an inventor for U.S. Patent Application No. 09/438,460, entitled "Transport Vectors," which was filed on November 12, 1999. The error in inventorship (i.e. the omission of my name as an inventor) occurred without deceptive intent on my part.

I have reviewed the above statement and I hereby declare that the statement made herein of my knowledge is true and that the statement made on information and belief is believed to be true, and that this statement was made with the knowledge that a willful false statement and the like so made is punishable by fine or imprisonment, or both, under section 1001 of title 10 of the United States Code and that such willful false statement may jeopardize the validity of the application or any patent issued thereon.

Shudong Wang

25.02.2002

Date



#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Peter M. Fischer, et al.

Serial No.: 09/438,460

Filed: November 12, 1999

For: TRANSPORT VECTORS

Attorney Docket No.: CCI-010

Commissioner for Patents Washington, D.C. 20231

Group Art Unit: 1614

Examiner: Karen A. Canella

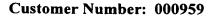
#### WRITTEN CONSENT OF THE ASSIGNEE

I, Howard Marriage, am the Business Development Director of Cyclacel Limited. I am authorized to act on behalf of Cyclacel Limited with respect to patent matters. Cyclacel Limited consents to the addition of Shudong Wang to U.S. Patent Application No. 09/438,460, entitled "Transport Vectors," which was filed on November 12, 1999.

I hereby declare that the statement made herein of my knowledge is true and that the statement made on information and belief is believed to be true, and that this statement was made with the knowledge that a willful false statement and the like so made is punishable by fine or imprisonment, or both, under section 1001 of title 10 of the United States Code and that such willful false statement may jeopardize the validity of the application or any patent issued thereon.

Howard Marriage, D. Phil.

Date





Attorney's
Docket
Number CCI-010

Declaration, Petition and Power of Attorney For Patent Application

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

TRANSPORT VECTORS	
the specification of which	
(check one)	
is attached hereto.	·
X was filed on November 12, 1999	as
Application Serial No. 09/438,460	
and was amended on	
(if applicable)	

I do not know and do not believe that the subject matter of this application was ever known or used in the United States before my invention thereof or patented or described in any printed publication in any country before my invention thereof or more than one year prior to the date of this application, and that said subject matter has not been patented or made the subject of an issued inventor's certificate in any country foreign to the United States on an application filed by me or my legal representatives or assigns more than twelve months prior to the date of this application; that I acknowledge my duty to disclose information of which I am aware which is material to the examination of this application, that no application for patent or inventor's certificate on the subject matter of this application has been filed by me or my representatives or assigns in any country foreign to the United States, except those identified below, and that I have reviewed and understand the contents of the specification, including the claims as amended by any amendment referred to herein.

I acknowledge the duty to disclose to the Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

#### PRIORITY CLAIM

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed.

Che	eck one:
	no such applications have been filed.
<u>X</u>	such applications have been filed as follows

# EARLIEST FOREIGN APPLICATIONS, IF ANY, FILED WITHIN 12 MONTHS (6 MONTHS FOR DESIGN) PRIOR TO THIS U.S. APPLICATION

Country	Application Number	Date of Filing	Priority Claimed
		(day/month/year)	Under 35 USC 119
GB	9825000.4	13 November 1998	X Yes No_
GB	9825001.2	13 November 1998	X Yes No_
GB	9902525.6	4 February 1999	X Yes No_
GB	9902522.3	4 February 1999	X Yes No_
GB	9914578.1	22 June 1999	X Yes No_

ALL FOREIGN APPLICATION(S), IF ANY FILED MORE THAN 12 MONTHS
(6 MONTHS FOR DESIGN) PRIOR TO THIS U.S. APPLICATION

## CLAIM FOR BENEFIT OF U.S. PROVISIONAL APPLICATION(S)

I hereby claim the benefit under 35 tapplication(s) listed below.	U.S.C. §119(e) of any United States provisional
(Application Serial No.)	(Filing Date)
(Application Serial No.)	(Filing Date)

#### CLAIM FOR BENEFIT OF EARLIER U.S./PCT APPLICATION(S)

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose to the Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application. As to subject matter of this application which is common to my earlier United States application, if any, described below, I do not believe that the same was ever known or used in the United States before my invention thereof or patented or described in any printed publication in any country before my invention thereof or more than one year prior to said earlier application, or in public use or on sale in the United States more than one year prior to said earlier application, that the said common subject matter has not been patented or made the subject of an inventor's certificate issued before the date of said earlier application in any country foreign to the United States on an application, filed by me or my legal representatives or assigns more than twelve months prior to said application and that no application for patent or inventor's certificate on said subject matter has been filed by me or my representatives or assigns in any country foreign to the United States except those identified herein.

(Application Serial No.)	(Filing Date)	(Status) (patented,pending,aband.)
(Application Serial No.)	(Filing Date)	(Status) (patented,pending,aband.)

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorneys and/or agents to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

James E. Cockfield	Reg. No. 19,162	Jeremiah Lynch	Reg. No. 17,425
Thomas V. Smurzynski	Reg. No. 24,798	David J. Rikkers	Reg. No. 43,882
Ralph A. Loren	Reg. No. 29,325	Maria C. Laccotripe	Limited Recognition
Giulio A. DeConti, Jr.	Reg. No. 31,503	•	Under 37 C.F.R. § 10.9(b)
Ann Lamport Hammitte	Reg. No. 34,858	Debra J. Milasincic	Reg. No. 46,931
Elizabeth A. Hanley	Reg. No. 33,505	David R. Burns	Reg. No. 46,590
Amy E. Mandragouras	Reg. No. 36,207	Sean D. Detweiler	Reg. No. 42,482
Anthony A. Laurentano	Reg. No. 38,220	Cynthia L. Kanik	Reg. No. 37,320
Kevin J. Canning	Reg. No. 35,470	Theodore R. West	Reg. No. 47,202
Jane E. Remillard	Reg. No. 38,872	Shayne Y. Huff	Reg. No. 44,784
DeAnn F. Smith	Reg. No. 36,683	Hathaway P. Russell	Reg. No. 46,488
Peter C. Lauro	Reg. No. 32,360	Daniel B. Ko	Reg. No. 47,332
Jeanne M. DiGiorgio	Reg. No. 41,710	John S. Curran	Reg. No. P50,445
Megan E. Williams	Reg. No. 43,270		,

Send Correspondence to Giulio A. DeConti, Jr., Esq. at Customer Number: 000959 whose address is Lahive & Cockfield, LLP, 28 State Street, Boston, MA 02109

Direct Telephone Calls to Giulio A. DeConti, Jr., Esq. (617) 227-7400

Wherefore I petition that letters patent be granted to me for the invention or discovery described and claimed in the attached specification and claims, and hereby subscribe my name to said specification and claims and to the foregoing declaration, power of attorney, and this petition.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of first invento Peter M. Fischer	r	
Inventor's signature	Luhr	Date 26. 62 - 2002
Residence Depley Lodge 1 Arbirolo	t Road Arbroath Angus DD	11 2FN Scotland
Denley Lodge, 1 Arbirolot Road, Arbroath, Angus DD11 2El Citizenship		11 ZEN Scottand
Switzerland		
Post Office Address (if di	fferent)	•

Full name of second inventor	
Nikolai Zhelev	
Inventor's signature	Date 25/2/2002
Residence	
28, West Acres Drive, Newport-on-tay, DD6	8NR, United Kingdom
Citizenship	
Bulgarian and British	
Post Office Address (if different)	
, in the second of the second	

Full name of third inventor	**************************************
Shudong Wang	
Inventor's signature	Date
	grif 25.02.2002
Residence Burnside Mill Fo	rfar DD41RZ
Residence Buynsiole Mill, For Balmuir Farm Cottage, Tealing, DD4 0QY	-Scotland, United Kingdom
Citizenship	
Australian	
Post Office Address (if different)	
•	



Application No. (if known): 09/854204

Attorney Docket No.: CCI-010CN

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November 21, 2005

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Signature Signature	
Cynthia L. Kanik, Ph.D.	
Typed or printed name of person signing Certificate	
37,320	(617) 227-7400
Registration Number, if applicable	Telephone Number

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This Certificate of Mailing (1 page)

Transmittal Form (1 page)

Fee Transmittal (1 page, in duplicate)

Petition to Correct Inventorship Pursuant to 37 CFR 1.48(a) (1 page)

copy of executed Statement By Shudong Wang filed in USSN 09/438460 (1 page) copy of executed Statement by the Assignee filed in USSN 09/438460 (1 page)

copy of DPOA filed in USSN 09/438460 (6 pages)

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